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Employee Post-Travel	Disclosure of	f Travel E	xpenses
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Date/Time Stamp:

SECRETARY OF THE SENATE FOR 17 SEP 15 PM 1:47 Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building. In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached: The original Employee Pre-Travel Authorization (Form RE-1), AND A copy of the Private Sponsor Travel Certification Form with all attachments (itinerary, invitee list, etc.) Postsecondary National Policy Institute (PNPI) Private Sponsor(s) (list all): Aug. 19-24, 2017
Travel date(s):_____ Name of accompanying family member (if any): Relationship to Traveler:

Spouse Child IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.) Expenses for Employee: Other Expenses Meal Expenses Lodging Expenses Transportation (Amount & Description) Expenses N/A \$142.88 \$387.00 \$652.12 ☐ Good Faith Estimate Actual Amount Expenses for Accompanying Spouse or Dependent Child (if applicable): Other Expenses Meal Expenses Lodging Expenses Transportation (Amount & Description) Expenses N/A N/A N/A N/A ☐ Good Faith Estimate ☐ Actual Amount Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): Attended a seminar on postsecondary data on states, systems and institutions. See agenda for additional information. Arrived early to the conference in accordance with prior approval. (Printed name of traveler)

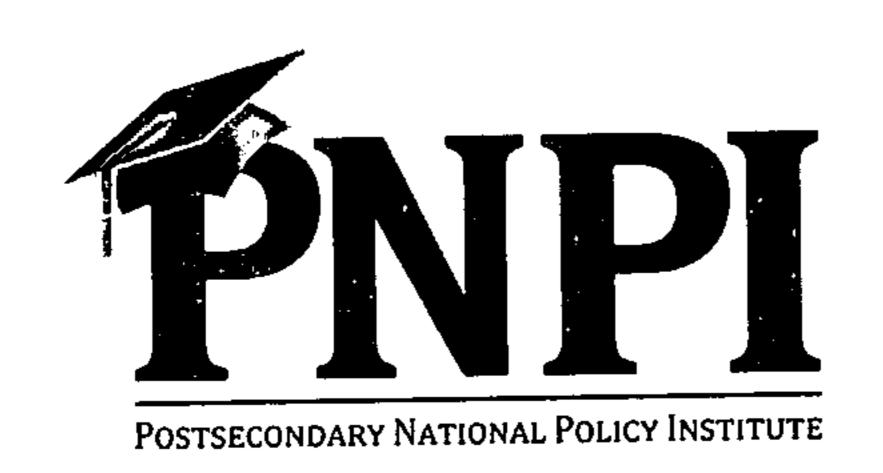
TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the Employee Pre-Travel Authorization form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

9/15/17 (Date)

(Revised 1/3/11)

(Signature of Supervising Senator/Officer)



Postsecondary Data Seminar: States, Systems, and Institutions

Monday, August 21 - Thursday, August 24 Austin, Texas

SEMINAR GOALS

Introduce staff to, and extend their knowledge of, how states and state systems collect, house, maintain and use postsecondary data.
Introduce staff to data linkages across states and state agencies.
Introduce staff to, and extend their knowledge of, how institutions of higher education (IHEs) collect, house, maintain and use postsecondary data.
Introduce staff to the types of data relationships that do and could exist between states, state systems and IHEs.

AGENDA

Monday, August 21

7:25 PM Arrive Austin, TX

8:30 PM Check-In: Hilton Garden Inn, Austin, TX

 8:00 AM-9:00 AM

Breakfast

Hilton Garden Inn

9:00 AM-10:30 AM

Welcome & A Data State of the States

Hilton Garden Inn

Speakers:

Dr. Christina Whitfield, Associate Vice President, State Higher Education Executive Officers Association

Rachel Zinn, Director, Workforce Data Quality Campaign

Questions for Discussion:

What are the various types of state postsecondary data systems?

How are these systems created?

What are the distinguishing features of these systems?

How have states used these systems?

· What are the limitations of these systems? What are their strengths?

What are some commonalities for state use of these systems?

What states are leaders in postsecondary data collection?

What are some best practices for the creation, use, and governance of these systems?

How are these systems funded?.

10:30 AM-10:45 AM

Break .

10:45 AM-12:15 PM

State to State Data Exchanges

Hilton Garden Inn

Speakers:

Iris Palmer, Senior Policy Analyst, New America

Patrick Lane, Project Manager, Western Interstate Commission for Higher Education

Questions for Discussion:

What is a state to state exchange?

What questions can these exchanges answer that a standalone system cannot?

How are these systems maintained?

What obstacles must be overcome in order to create such exchanges?

What is the Multistate Longitudinal Data Exchange?

Why is such an exchange necessary?

What are the challenges of such an exchange?

What are its limitations?

Can a state to state exchange replace the need for a federal data system?

12:15 PM-12:30 PM Break :

12:30 PM-2:00 PM Lunch & Travel to the Texas Higher Education

Coordinating Board

Staff will stop for 60 minutes for lunch on the way to the Texas

Higher Education Coordinating Board.

2:00 PM-3:30 PM Overview of Texas Postsecondary Data System,

Texas Higher Education Coordinating Board Offices

Speakers:

Dr. Raymund A. Paredes, Commissioner, Texas Higher Education Coordinating Board Dr. David Gardner, Deputy Commissioner for Academic Planning and Policy, Texas Higher Education Coordinating Board

Dr. Julie Eklund, Assistant Commissioner for the Strategic Planning and Funding Division, Texas Higher Education Coordinating Board

Questions for Discussion:

What systems comprise the Texas data landscape?

What is the Texas P-16 Public Education Information Resource (TPEIR)?

How and why was this system created?

How is the system funded?

How is the exchange of information governed?

How has this system or other state based data systems impacted or improved postsecondary education in the state of Texas?

What is the Texas Higher Education Accountability System? What role does postsecondary data play in that system?

3:30 PM- 4:00 PM Travel to University of Texas System Offices

4:00 PM-5:30 PM A System-Wide Approach to Data, University of Texas

System Offices

Speakers:

Dr. Stephanie A. Bond Huie, Vice Chancellor, University of Texas System Dr. David Troutman, Associate Vice Chancellor for Institutional Research and Decision Support, University of Texas System

Questions for Discussion:

How does the University of Texas System use postsecondary data?

What is seekUT?

What is the UT system Dashboard? How does the dashboard help improve postsecondary outcomes for Texans?

5:30 PM-6:00 PM

Travel to Dinner

6:00 PM-8:00 PM

Working Dinner & Wrap Up/Travel back to Hotel

Facilitators:

Mary Ellen McGuire, President, PNPI Jared Bass, Federal Director, PNPI

Discussion Questions:

What have you learned about state and system postsecondary data systems? What further questions do you have regarding postsecondary data systems at the state and system levels?

What did you learn about Texas' approach to postsecondary data?

How did this approach differ from what you learned about other state data systems?

Wednesday, August 23

8:00 AM-9:00 AM Breakfast, Hilton Garden Inn

Hilton Garden Inn

9:00 AM-10:30 AM Institutional Approaches to Postsecondary Data,

Hilton Garden Inn

Speakers:

Manuela Ekowo, Policy Analyst, New America

Dr. Mark Becker, President, Georgia State University

Dr. Jose Luis Cruz, President, Lehman College

Dr. David Laude, Senior Vice Provost for Strategic Initiatives, University of Texas at Austin

Questions for Discussion:

How are institutions using data to improve postsecondary education?

What are predictive analytics?

What are the benefits and limitations of using predictive analytics?

What are some of the challenges associated with an institutional focus on "big data?" How are institutions addressing and protecting student privacy in the use of postsecondary data tools?

Are there any best practices associated with the creation, maintenance, and use of data at the institutional level? How are these approaches funded?

10:30 AM-11:00 AM Travel to University of Texas at Austin (UT Austin)

11:00 AM-11:15 AM Welcome from UT Austin President and Provost, UT Austin

President Gregory Fenves and Provost Maurie McInnis

11:15 AM- 12:45 PM Overview of Postsecondary Data Usage at UT Austin,
UT Austin

Speakers:

Dr. Tara O'Neil, Director, Office of Enrollment Analytics, University of Texas at Austin Dr. Jamie Pennebaker, Executive Director, Project 2021, University of Texas at Austin Dr. Celeste Alexander, Director, Texas Education Research Center, University of Texas at Austin

Carolyn Connerat, Associate Vice Provost for Student Success Initiatives, University of Texas at Austin

Questions for Discussion:

How does UT Austin use postsecondary data?

How is the data collected and maintained?

How does UT Austin address student privacy and data security concerns? What changes have occurred as a result of UT Austin's use of predictive analytics? What are some of the experiences of students who have been impacted by predictive analytics or other data driven postsecondary interventions? How do students respond to these interventions?

What is the Education Research Center, and how does UT Austin use this center to facilitate postsecondary research?

12:45 PM-1:00 PM

Travel to Lunch

1:00 PM-2:00 PM

Working Lunch with Students & Faculty, UT Austin

Speakers:

Students and Faculty selected by UT Austin

Questions for Discussion:

What do you know about UT Austin's data collection efforts? Are you aware of what student level data they are collecting?

Do you actively worry about the privacy and security of your data?

How have you been personally impacted by predictive analytics or other data driven postsecondary interventions at UT? Are they a good or bad thing?

2:00 PM-3:00 PM

. Wrap Up Discussion & Farewell

Questions for Discussion:

How might the federal government further support the work of institutions like UT Austin, in leveraging data to improve programming and student outcomes?

• If there was one key takeaway from the work of UT Austin with postsecondary data, what would it be?
What additional questions do Congressional staff have about UT Austin and

What additional questions do Congressional staff have about UT Austin and postsecondary data?

3:00 PM-3:30 PM Travel to Austin Community College (ACC)

3:30 PM- 4:30 PM Overview of Postsecondary Data Usage at Austin

Community College, ACC

Speakers:

Dr. Virginia Fraire, Vice President, Student Services, Austin Community College & other representatives from Austin Community College

Questions for Discussion:

· How does Austin Community College use postsecondary data?

How is the data collected and maintained?

What is DegreeMap?

How does ACC address student privacy concerns?

How does ACC address security concerns?

What changes have occurred as a result of DegreeMap?

What is the Direct to College Achievement Plan?

How does ACC leverage partnerships with businesses and others to achieve its goals?

4:30 PM- 5:30 PM

ACC Student Panel

Speakers:

Students selected by ACC

Questions for Discussion:

What do you know about ACC's data collection efforts? Are you aware of what student level data they are collecting?

Do you actively worry about the privacy and security of your data? Have you personally been impacted by DegreeMap? If so, how?

5:30 PM-6:00 PM

Wrap Up & Farewell

Questions for Discussion:

How might the federal government further support the work of institutions like ACC in leveraging data to improve programming and student outcomes?

If there is one key takeaway from the work of ACC with postsecondary data, what would it be?

What additional questions do Congressional staff have about ACC and postsecondary data?

6:00 PM- 6:30 PM

Travel to Dinner

Facilitators:

MaryEllen McGuire, President, PNPI Jared Bass, Federal Director, PNPI

Discussion Questions:

What did you learn about UT Austin and ACC's approaches to postsecondary data? How did their approaches differ from the institutions we heard from at the start of our day?

Thursday, August 24

8:00 AM-10:00 AM

Breakfast & Wrap-up Discussion, Hilton Garden Inn

Facilitators:

4:15 PM

MaryEllen McGuire, President, PNPI Jared Bass, Federal Director, PNPI

Discussion Questions:

What remaining questions do you have about data use at the state, system and institution levels?

What challenges do states, systems and institutions have with regard to obtaining and applying data to improve student outcomes?

What are the benefits of having states, systems and institutions leverage postsecondary student data?

What is the role, if any, of the federal government in facilitating the use or sharing of postsecondary data at the state, system or institution levels?

What further questions do you have about postsecondary data use or application? What follow up programming might you be interested in regarding states, systems or institutions and data?

10:00 AM Check-out & Depart for Austin Airport

11:00 AM Lunch at the Airport

12:05 PM Depart Austin, Texas

Arrive Washington D.C. (DCA)

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(Rev sed 10/19/15)

EMPLOYEE PRE-TRAVEL AUTHORIZATION

<u>Pre-Travel Filing Instructions</u>: Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will <u>not</u> be considered or approved. This form <u>must</u> be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

ETHIC JUL21'17pm 3:19

Destination(s): Austin, TX Explain how this trip is specifically connected to the traveler's official or representational duties: I am a Legislative Counsel in Senator Collins' office and the policy adviser on education policy. The purpose of this conference is to learn how states, systems, and institutions of higher education collece and use postsecondary education data, and to inform federal higher education policymaking. The content covered in this conference will enhance my education policy expertise. Name of accompanying family member (if any): Name of accompanying family member (if any): Certify that the information contained in this form is true, complete and correct to the best of my knowledge: To be completed by supervising senators in the final policy expertise. To be completed by supervising senators for the Minority, and Chaplain): Senator Susan Collins Senator Susan Collins (Print Senator's/Officer's Name) An employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain. I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation.	required post-travel disclosure.	
Employing Office/Committee: Private Sponsor(s) (list all): Postsecondary Naitonal Policy Institute (PNPI) August 21-24, 2017; requesting an extension of travel to return August 27, 2017 Note: If you plan to extend the trip for any reason you must notify the Committee. Austin, TX Explain how this trip is specifically connected to the traveler's official or representational duties: [I am a Legislative Counsel in Senator Collins' office and the policy adviser on education policy. The purpose of this conference is to learn how states, systems, and institutions of higher education collece and use postsecondary education data, and to inform federal higher education policymaking. The content covered in this conference will enhance my education policy expertise. Name of accompanying family member (if any): Relationship to Employee: Spouse Child I certify that the information contained in this form is true, complete and correct to the best of my knowledge: 7 21		therine (Katie) Brown
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7/21/1	an employee under my direct supervision, to accept paymer related expenses for travel to the event described above. I have also determined that the attendance of the employee' of the Senate. (signify "yes" by checking box)	at or reimbursement for necessary transportation, lodging, and have determined that this travel is in connection with his or her create the appearance that he or she is using public office for spouse or child is appropriate to assist in the representation
(Signature of Supervising Sention Officer)	7 Z' 1	

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1.	Postsecondary National Policy Institute (PNPI) Sponsor(s) of the trip (please list all sponsors):
2.	Description of the trip:
3.	Dates of travel: August 21-24, 2017
4.	Place of travel: Austin, TX
5.	Name and title of Senate invitees: See attached.
6.	I certify that the trip fits one of the following categories: (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip. - OR -
	(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).
7.	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8.	I certify that: The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for de minimis lobbyist involvement. - AND -
	The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).

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9.	USE ONLY IF YOU CHECKED QUESTION 6(B) I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:
	 (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip. OR -
	(B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10).
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
10.	USE ONLY IF YOU CHECKED QUESTION 9(B) If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:
11.	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hourby-hour), complete, and final itinerary for the trip.
12.	Briefly describe the role of each sponsor in organizing and conducting the trip:
	PNPI has created the agenda, developed the invitation list and is managing all event logistics.
	•
13.	Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission: See attached.
14.	Briefly describe each sponsor's prior history of sponsoring congressional trips: See attached.

in addition to multi-C	day seminars, PNPI sponse	ors Hill-based bnem	ngs for larger mili aud	
available to all cong	ressional staff for postseco	ondary related resea	arch support. Our wel	osite offers
resources including	summaries of recent posts	secondary reports a	nd primers on higher	education issu
Total Expenses for I	Each Participant:			
	Transportation	Lodging	Meal	Other
	Expenses	Expenses	Expenses	Expense
Good Faith estimate Actual	\$531.72 (flight cost round-trip to Austin, TX from Washington, DC and on the ground shuttle to meetings and site visits in	\$387	\$182	N/A
Amounts	Austin.)			ŀ
State whether a) the participation or b) the congressional partic	trip involves an event that ne trip involves an event the ipation: and organized specifically	at is arranged or or	ganized <i>specifically</i> v	vith regard to
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State whether a) the participation or b) the congressional partice. This trip is arranged. See attached. Name and location of Hilton Garden Inn A	ne trip involves an event the ipation: and organized specifically the location of the event of hotel or other lodging factors.	with regard to cong	gressional participation	vith regard to

21.	Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:
	Lodging for congressional staff costs \$129/night, which is below the federal per diem. Meal costs are
	\$31 on day one (dinner, incidentals), \$59 on day two and day three (breakfast, lunch, dinner, incidentals)
	and \$33 on day four (breakfast, lunch, incidentals). All of these totals are below or at federal per diem.
22.	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:
	See attached.
23.	I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).
24.	List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:
	N/A
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25.	I hereby certify that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.): Signature of Travel Sponsor:
	Name and Title:
	Name of Organization: Postsecondary National Policy Institute (PNPI)
	718 7th Street NW, Floor 2, Washington, DC 20001 Address:
	Telephone Number:
	Fax Number:
	E-mail Address: mcguire@pnpi.org

ATTACHMENT: Senate Private Sponsor Travel Certification Form

2. Description of the Trip:

This seminar is designed to extend the congressional staff's knowledge of how states, systems, and institutions collect, house, maintain, and use postsecondary data. It will also introduce the staff to postsecondary data exchanges across states. Finally, it will deepen the staff's understanding of the types of data relationships that do and could exist between the federal government, states, state systems, and institutions of higher education.

A detailed agenda is attached.

5. Name and title of Senate invitees:

Katie Brown

Legislative Assistant Senator Collins

Josh Delaney

Legislative Assistant Senator Warren

Andrew LaCasse

Education Policy Advisor Senator Alexander Bryce McKibben

Policy Advisor Senator Murray

Karishma Merchant

Legislative Assistant Senator Kaine

Bob Moran

Education Policy Advisor Senator Alexander

All staff were invited due to their employment with the Senate HELP Committee or with a Member who sits on the Committee.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

PNPI provides professional development to Congressional staff who work on higher education issues. This seminar is designed to extend the congressional staff's knowledge of how states, systems, and institutions collect, house, maintain, and use postsecondary data. It will also introduce the staff to postsecondary data exchanges across states. Finally, it will deepen the staff's understanding of the types of data relationships that do and could exist between the federal government, states, state systems, and institutions of higher education.

A detailed agenda is attached.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

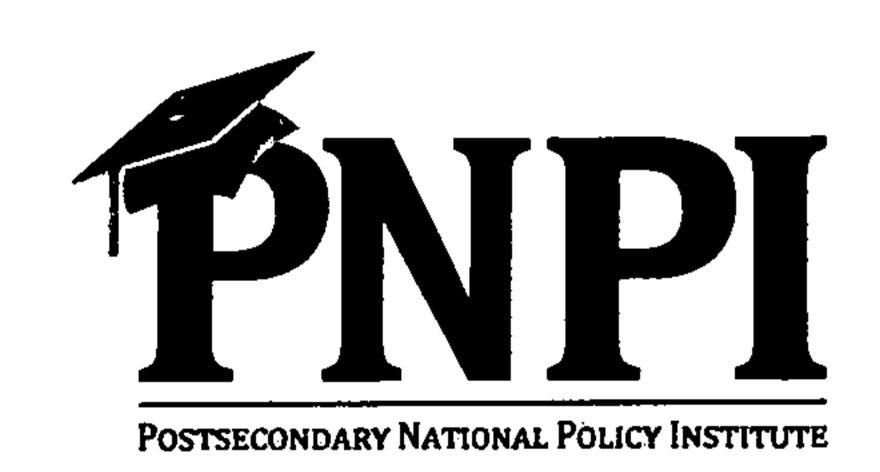
PNPI has sponsored sixteen prior seminars on the topics of college cost (held in Towson, Maryland in May 2012), student financial aid (held at New America and George Mason University in August 2012, the George Washington University in August 2013, the University of Virginia in August 2014 and the Kent Manor Inn in Stevensville, MD in May 2015 and June 2017), higher education accreditation (held in Owings Mills, Maryland in January 2013 and in Warrenton, VA in March 2016), competency-based education and prior learning assessment (held in Manchester, New Hampshire in May 2013), MOOCs and online learning (held at the Airlie Center in Warrenton, VA in April 2014), Minority-Serving Institutions (held in Atlanta, GA in October 2014 and in Seattle, WA in October 2015), nontraditional students (held at the Kent Manor Inn in Stevensville, MD in August 2015), and loan servicing (held in Harrisburg, PA in August 2016). PNPI also sponsored a general issues Boot Camp (held at Kent Manor in Stevensville, MD in June 2016) and a federal postsecondary data primer (held at the Airlie Center in Warrenton, VA in April 2017). All prior PNPI trips have been approved by the Ethics Committee.

18. Reason for selecting the location of the event or trip.

The state of Texas is a leader in the use of postsecondary data to advance student outcomes at the state, system, and institutional levels. As the capital of Texas, Austin is home to key state and system-wide offices as well as a number of institutions of higher education currently leveraging date to improve student outcomes. Our visit to Texas will include meetings with the Texas Higher Education Coordinating Board and leadership at the University of Texas System offices and include site visits to the University of Texas at Austin and Austin Community College.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary.

Staff will fly coach from DCA to AUS on Southwest Airlines on August 21, 2017 and from AUS to DCA on Southwest Airlines on August 24, 2017. A coach class shuttle will be used to transport staff to meetings and site visits once we are on the ground in Austin.



July 10, 2017

Dear Katie Brown,

We are writing to invite you to participate in the Postsecondary National Policy Institute's (PNPI) *Postsecondary Data Seminar: States, Systems, and Institutions*, August 21-24, 2017 in Austin, TX.

This seminar is designed to extend your knowledge of how states, systems, and institutions collect, house, maintain, and use postsecondary data. It will also introduce you to postsecondary data exchanges across states. Finally, it will deepen your understanding of the types of data relationships that do and could exist between the federal government, states, state systems, and institutions of higher education.

PNPI fully complies both with the rules enacted by the United States Senate and with the provisions contained in S.1 (P.L. 110-81) as they relate to privately-funded travel of Congressional staff. Included with this invitation are all the forms necessary for Ethics rules compliance.

By Friday, July 21, 2017, you must submit the following forms and documents directly with the Ethics Committee in Hart 220:

- A copy of this PNPI invitation;
- A completed Private Sponsor Travel Certification Form with all attachments, including a Senate invitee list;
- An itinerary and agenda; and
- A completed and signed Employee Pre-Travel Authorization Form.

After receiving the completed travel package, the Ethics Committee will review the package and issue an approval for travel to you or your sponsoring Member. The Ethics Committee advises all Senate employees to maintain copies of all submitted forms for their own records. After the seminar, we will provide you with a post-travel form that you will need to complete and submit to the Ethics Committee.

Even if you are unsure you will be able to attend the seminar, please submit the paperwork so you will have the option to participate. **Paperwork submitted to the Ethics**Committee after the deadline will not be considered. For planning purposes, PNPI must receive your RSVP no later than July 17. Please send your RSVP directly to Jared Bass at bass@pnpi.org.

Attendance is by invitation only, with no outside observers or lobbyists. Funding is provided solely by grants from established foundations. No government, individual, foreign, corporate or special interest money is accepted. The foundations supporting PNPI are the Bill and Melinda Gates Foundation and the Lumina Foundation. PNPI maintains autonomy over the agenda, invitations and materials provided before and after the seminar.

We will depart for Austin on Monday, August 21 at 5:05 PM EST from Reagan National Airport (DCA) and return to DCA on Thursday, August 24 at 4:15 PM EST. The program will begin at the Hilton Garden Inn in Austin, TX on Tuesday, August 22 at 9:00 AM CST and will conclude on Wednesday, August 23 at 8:00 PM CST. If you have any questions about the seminar, please do not hesitate to email or call us directly.

PNPI's Postsecondary Data Seminar: States, Systems, and Institutions promises to be both educational and productive. We look forward to your participation!

Sincerely,

MaryEllen McGuire, President

Postsecondary National Policy Institute (PNPI)

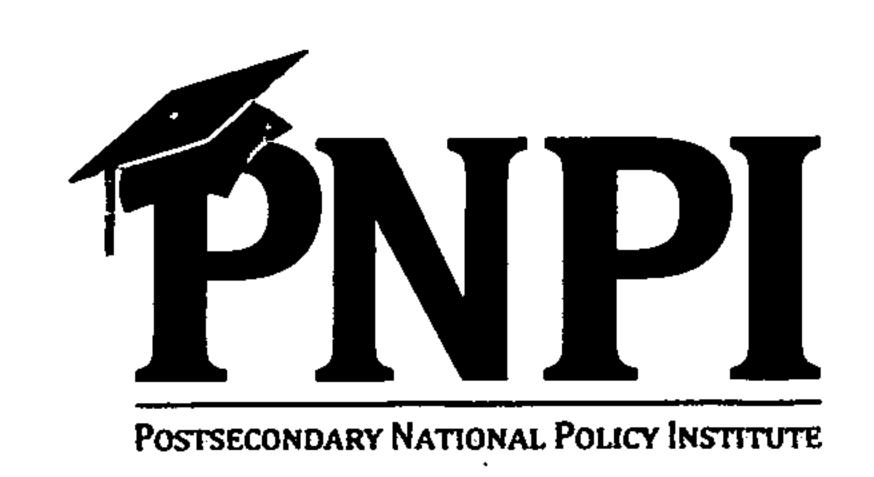
mcguire@pnpi.org

202-841-7359

Jared Bass, Federal Director

Postsecondary National Policy Institute (PNPI)

<u>báss@pnpi.org</u> 215-285-8347



Postsecondary Data Seminar: States, Systems, and Institutions

Monday, August 21 - Thursday, August 24 Austin, Texas

SEMINAR GOALS

Introduce staff to, and extend their knowledge of, how states and state systems collect, house, maintain and use postsecondary data. Introduce staff to data linkages across states and state agencies. Introduce staff to, and extend their knowledge of, how institutions of higher education (IHEs) collect, house, maintain and use postsecondary data. Introduce staff to the types of data relationships that do and could exist between states, state systems and IHEs.

	AGENDA	رسد السياح المداد
Monday, Augu	ıst 21	
4:00 PM	Arrive & Check-In Reagan National Airport (DCA) Dinner provided at the airport.	
5:05 PM	Depart Reagan National Airport (DCA) Southwest Airlines Flight # 265	
7:25 PM	Arrive Austin, TX	
8:30 PM	Check-In: Hilton Garden Inn, Austin, TX	

8:00 AM-9:00 AM

Breakfast

Hilton Garden Inn

9:00 AM-10:30 AM

Welcome & A Data State of the States

Hilton Garden Inn

Speakers:

Dr. Christina Whitfield, Associate Vice President, State Higher Education Executive Officers Association

Rachel Zinn, Director, Workforce Data Quality Campaign

Questions for Discussion:

- What are the various types of state postsecondary data systems?
 How are these systems created?
 What are the distinguishing features of these systems?
- How have states used these systems?
- What are the limitations of these systems? What are their strengths?
- What are some commonalities for state use of these systems?
- What states are leaders in postsecondary data collection?
 What are some best practices for the creation, use, and governance of these systems?
 How are these systems funded?

10:30 AM-10:45 AM

Break

10:45 AM-12:15 PM

State to State Data Exchanges

Hilton Garden Inn

Speakers:

Iris Palmer, Senior Policy Analyst, New America Patrick Lane, Project Manager, Western Interstate Commission for Higher Education

Questions for Discussion:

- What is a state to state exchange?
- What questions can these exchanges answer that a standalone system cannot?
- How are these systems maintained?
- What obstacles must be overcome in order to create such exchanges? What is the Multistate Longitudinal Data Exchange? Why is such an exchange necessary? What are the challenges of such an exchange?
- What are its limitations?
- Can a state to state exchange replace the need for a federal data system?

12:15 PM-12:30 PM Break

12:30 PM-2:00 PM Lunch & Travel to the Texas Higher Education

Coordinating Board

Staff will stop for 60 minutes for lunch on the way to the Texas

Higher Education Coordinating Board.

2:00 PM-3:30 PM Overview of Texas Postsecondary Data System,

Texas Higher Education Coordinating Board Offices

Speakers:

Dr. Raymund A. Paredes, Commissioner, Texas Higher Education Coordinating Board Dr. David Gardner, Deputy Commissioner for Academic Planning and Policy, Texas Higher Education Coordinating Board

Dr. Julie Eklund, Assistant Commissioner for the Strategic Planning and Funding Division, Texas Higher Education Coordinating Board

Questions for Discussion:

- What systems comprise the Texas data landscape?
- What is the Texas P-16 Public Education Information Resource (TPEIR)?
- How and why was this system created?
- How is the system funded?
- How is the exchange of information governed?
 How has this system or other state based data systems impacted or improved postsecondary education in the state of Texas?
- What is the Texas Higher Education Accountability System? What role does postsecondary data play in that system?

3:30 PM- 4:00 PM

Travel to University of Texas System Offices

4:00 PM-5:30 PM A System-Wide Approach to Data, University of Texas
System Offices

Speakers:

Dr. Stephanie A. Bond Huie, Vice Chancellor, University of Texas System Dr. David Troutman, Associate Vice Chancellor for Institutional Research and Decision Support, University of Texas System

Questions for Discussion:

- How does the University of Texas System use postsecondary data?
- What is seekUT?
- What is the UT system Dashboard? How does the dashboard help improve postsecondary outcomes for Texans?

5:30 PM-6:00 PM Travel to Dinner

6:00 PM-8:00 PM Working Dinner & Wrap Up/Travel back to Hotel

Facilitators:

MaryEllen McGuire, President, PNPI Jared Bass, Federal Director, PNPI

Discussion Questions:

What have you learned about state and system postsecondary data systems? What further questions do you have regarding postsecondary data systems at the state and system levels?

What did you learn about Texas' approach to postsecondary data?
 How did this approach differ from what you learned about other state data systems?

Wednesday, August 23

8:00 AM-9:00 AM Breakfast, Hilton Garden Inn

Hilton Garden Inn

9:00 AM-10:30 AM Institutional Approaches to Postsecondary Data,

Hilton Garden Inn

Speakers:

Manuela Ekowo, Policy Analyst, New America

Dr. Mark Becker, President, Georgia State University

Dr. Jose Luis Cruz, President, Lehman College

Dr. David Laude, Senior Vice Provost for Strategic Initiatives, University of Texas at Austin

Questions for Discussion:

- How are institutions using data to improve postsecondary education?
 What are predictive analytics?
- What are the benefits and limitations of using predictive analytics?
- What are some of the challenges associated with an institutional focus on "big data?"
- How are institutions addressing and protecting student privacy in the use of postsecondary data tools?
- Are there any best practices associated with the creation, maintenance, and use of data at the institutional level? How are these approaches funded?

10:30 AM-11:00 AM Travel to University of Texas at Austin (UT Austin)

11:00 AM-11:15 AM Welcome from UT Austin President and Provost, UT Austin

President Gregory Fenves and Provost Maurie McInnis

11:15 AM- 12:45 PM Overview of Postsecondary Data Usage at UT Austin, UT Austin

Speakers:

Dr. Tara O'Neil, Director, Office of Enrollment Analytics, University of Texas at Austin Dr. Jamie Pennebaker, Executive Director, Project 2021, University of Texas at Austin Dr. Celeste Alexander, Director, Texas Education Research Center, University of Texas at Austin Austin

Carolyn Connerat, Associate Vice Provost for Student Success Initiatives, University of Texas at Austin

Questions for Discussion:

- How does UT Austin use postsecondary data?
- How is the data collected and maintained?
 How does UT Austin address student privacy and data security concerns?
- What changes have occurred as a result of UT Austin's use of predictive analytics? What are some of the experiences of students who have been impacted by predictive analytics or other data driven postsecondary interventions? How do students respond to these interventions?
- What is the Education Research Center, and how does UT Austin use this center to facilitate postsecondary research?

12:45 PM-1:00 PM Travel to Lunch

1:00 PM-2:00 PM Working Lunch with Students & Faculty, UT Austin

Speakers:

Students and Faculty selected by UT Austin

Questions for Discussion:

- What do you know about UT Austin's data collection efforts? Are you aware of what student level data they are collecting?
- Do you actively worry about the privacy and security of your data?
- How have you been personally impacted by predictive analytics or other data driven postsecondary interventions at UT? Are they a good or bad thing?

2:00 PM-3:00 PM Wrap Up Discussion & Farewell

Questions for Discussion:

- How might the federal government further support the work of institutions like UT Austin in leveraging data to improve programming and student outcomes?
- If there was one key takeaway from the work of UT Austin with postsecondary data, what would it be?
- What additional questions do Congressional staff have about UT Austin and postsecondary data?

3:00 PM-3:30 PM Travel to Austin Community College (ACC)

3:30 PM- 4:30 PM Overview of Postsecondary Data Usage at Austin Community College, ACC

Speakers:

Dr. Virginia Fraire, Vice President, Student Services, Austin Community College & other representatives from Austin Community College

Questions for Discussion:

- How does Austin Community College use postsecondary data?
- How is the data collected and maintained?
- What is DegreeMap?
- How does ACC address student privacy concerns?
- How does ACC address security concerns?
- What changes have occurred as a result of DegreeMap?
- What is the Direct to College Achievement Plan?
 How does ACC leverage partnerships with businesses and others to achieve its goals?

4:30 PM- 5:30 PM ACC Student Panel

Speakers:

Students selected by ACC

Questions for Discussion:

- What do you know about ACC's data collection efforts? Are you aware of what student level data they are collecting?
- Do you actively worry about the privacy and security of your data?
- Have you personally been impacted by DegreeMap? If so, how?

5:30 PM-6:00 PM Wrap Up & Farewell

Questions for Discussion:

- How might the federal government further support the work of institutions like ACC in leveraging data to improve programming and student outcomes?
- If there is one key takeaway from the work of ACC with postsecondary data, what would it be?
- What additional questions do Congressional staff have about ACC and postsecondary data?

6:00 PM- 6:30 PM

Travel to Dinner

6:30 PM-8:30 PM

Working Dinner & Wrap-Up Discussion/Travel back to

Hotel

Facilitators:

MaryEllen McGuire, President, PNPI Jared Bass, Federal Director, PNPI

institutions and data?

Discussion Questions:

What have you learned about institutions' use of postsecondary data systems? What further questions do you have about data use at institutions? What did you learn about UT Austin and ACC's approaches to postsecondary data? How did their approaches differ from the institutions we heard from at the start of our

day? What follow up programming might you be interested in regarding states, systems or

Thursday, August 24

8:00 AM-9:00 AM	Breakfast, Hilton Garden Inn
9:00 AM-9:30 AM	Check-out & Depart for Austin Airport
11:00 AM-12:00 PM	Lunch at the Airport
12:05 PM	Depart Austin, Texas for Washington DC (DCA) Flight # 1685
4:15 PM	Arrive Washington D.C. (DCA)

PAT ROBERTS, KANSAS JAMES E. RISCH, IDAKO

BRIAN SCHATZ, HAWAR JEANNE SKAKEEN, NEW HAMPSHIRE

DEBORAH SUE MAYER, CHIEF COUNSEL AND STAFF DIRECTOR EMILY GERSKON, CHIEF CLERK TELEPHONE: (202) 224-2981 FACSIMILE: (202) 224-7416 TOD: (202) 228-3752

United States Senate

SELECT COMMITTEE ON ETHICS

August 4, 2017

Catherine Brown
Office of Senator Susan M. Collins
United States Senate
Washington, DC 20510

Dear Ms. Brown:

This responds to your recent correspondence concerning an invitation you received to travel to the Postsecondary Data Seminar: States, Systems, and Institutions, in Austin, Texas on August 21-24, 2017, sponsored by Postsecondary Policy National Policy Institute (PNPI). PNPI certified to the Select Committee on Ethics (the Committee) that it will pay the necessary expenses related to the travel and that it is neither a lobbyist, nor lobbying firm, nor an agent of a foreign principal, and it is not otherwise acting as a representative or agent of a foreign government. PNPI has also certified that it does not retain or employ a registered lobbyist or agent of a foreign principal and that no registered lobbyist will accompany you at any point throughout your trip.

Based on information and materials available to the Committee, and consistent with the guidance contained in this letter regarding extending your trip for a personal purpose, and assuming the actual travel and travel-related expenses conform to the information and materials you provided, it appears that the proposed payment or reimbursement of necessary expenses for this trip may be accepted under relevant Senate Rules and the Committee's Regulations and Guidelines for Privately-Sponsored Travel, so long as at the time of the payment or reimbursement, PNPI is neither a registered lobbyist nor lobbying firm under the Lobbying Disclosure Act of 1995, nor an agent of a foreign principal under the Foreign Agents Registration Act (and is not otherwise acting as a representative or agent of a foreign government), and provided the travel and all required documents are disclosed to the Secretary of the Senate in accordance with the provisions of Senate Rules 34 and 35.

Based on the information you submitted, the Committee understands that, for a personal purpose, you intend to extend your trip in Austin for less than 62 hours before the beginning of the officially related events. You must personally pay for any additional expenses incurred as a result of extending your trip.

² The term "necessary expenses" has a specific definition. See Select Committee on Ethics' Regulations and Guidelines for Privately-Sponsored Travel – Glossary of Terms at 8.

³ The term "any point throughout your trip" has a specific definition. See id. at 2.